

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/754,086 01/05/2001 Nobuhiro Shoji

001763

23850

ARMSTRONG, WESTERMAN, HATT MCLELAND & NAUGHTON, LLP 1725 K STREET, NW, SUITE 1000 WASHINGTON, DC 20006



CONFIRMATION NO. 4704 FORMALITIES LETTER OC000000005786147*

Date Mailed: 02/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$263.
 - \$63 for 7 total claims over 20.
 - \$200 for 5 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 683. A copy of this notice MUST be returned with the reply. Customer Service Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

\$ Sector

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Nobuhiro SHOJI et al.

Serial No.:09/754,086

ATTN: BOX MISSING PARTS

Filed: January 5, 2001

Group Art Unit:2164

FOR: METHOD AND SYSTEM FOR EXCHANGE POINTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commisssioner for Patents Washington, D.C. 20231

April 17, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Application dated February 21, 2001, enclosed for filing is an Executed Declaration and Power of Attorney and Small Entity Declaration for the above-identified patent application.

Also enclosed is our check in the amount of \$683.00 (small entity), for the government filing fee of \$355.00, \$63.00 for extra total claims, \$200.00 for extra independent claims, and the surcharge fee of \$65.00 for filing the late Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI McLELAND & NAUGHTON, LLP

William L. Brooks Attorney for Applicants

Al/ills Beck

Reg. No. 34,129

Atty. Docket No. 001763

Suite 1000

1725 K Street, N.W.

Washington, D.C. 20006

(202) 659-2930

WLB/srb